

# Kodaira embedding and a Schwarz Lemma after Yau-Royden

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Papers can be downloaded at

<http://math.ucsd.edu/~lni/academic.html>

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- ▶ A Kähler manifold  $(M, g)$  is a complex one whose Kähler form  $\omega_g = \frac{\sqrt{-1}}{2} g_{\alpha\bar{\beta}} dz^\alpha \wedge d\bar{z}^\beta$  is  $d$ -closed.

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- ▶ Kodaira (1954): Assuming the Kähler form is integral, a compact Kähler manifold can be holomorphically embedded into the complex projective  $\mathbb{P}^m$  (called projective).

## A2. Implications

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- ▶ Hence 'projectivity'='being algebraic'; and the embedding avails us the algebraic tools to study some Kähler manifolds.
- ▶ 'Forgetting' allows PDEs/geometric method to study the algebraic manifolds, e.g. yielding the Hodge theorem/structure, Riemann-Roch-Hirzebruch index theorem etc.



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- ▶ Kodaira's embedding theorem is built upon his vanishing theorem, which is very influential to  $L^2$ -estimate of  $\bar{\partial}$ -operator.
- ▶ Given a Kähler manifold  $(M, g)$ , when it admits a positive line bundle?
- ▶ The 'canonical choice' is the canonical line bundle  $(K_M, \det(g)^{-1})$  or anti-canonical line  $K_M^{-1} = \det T^*M$  bundle.

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- ▶ Mok: If a Kähler manifold  $(M, g)$  satisfies  $B \geq 0$ ,  $b_2 = 1$ , then  $M$  is a compact HSS.

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- ▶ The first result does not apply to Riemann surfaces of positive genus. The second result is also restrictive in high dimension as explained later.
- ▶ More importantly there are many tori of complex dimension 2 which is not projective.
- ▶ Our first result/curvature captures, to some degree, the essential connection between the intrinsic positivity and the projectivity.

## B3. The $k$ -scalar curvature

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- ▶ For  $x \in M$  and  $\Sigma \subset T'_x M$  a  $k$ -dimensional subspace, define

$$S_k(x, \Sigma^k) \doteq \frac{k(k+1)}{2\text{Vol}(\mathbb{S}^{2k-1})} \int_{|Z|=1, Z \in \Sigma} H(Z) d\theta(Z).$$

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- ▶ Recall that the scalar curvature  $S(x) = \sum_{i,j=1}^m R(E_i, \bar{E}_i, E_j, \bar{E}_j)$ , where  $\{E_i\}$  is a unitary basis of  $T'_x M$ . Berger proved that

$$S(x) = \frac{m(m+1)}{2\text{Vol}(\mathbb{S}^{2m-1})} \int_{|Z|=1, Z \in T'_x M} H(Z) d\theta(Z),$$

which implies  $S > 0$  if  $H > 0$ .

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- ▶ We say  $S_k(x) \geq \lambda$  ( $\leq \lambda$ ) if  $S_k(x, \Sigma) \geq \lambda$  ( $S_k(x, \Sigma) \leq \lambda$ ) for all  $k$ -dimensional subspace  $\Sigma \subset T'_x M$ .



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Clearly for  $k = 1$ ,  $S_1(x) \geq \lambda$  is the same as  $H(X) \geq \lambda|X|^4$ , and  $S_m(x) = S(x)$ .

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► Theorem (N-Zheng, 2018)

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- ▶ This provides a general criterion on the projectivity. It is sharp since generic 2-tori are non-Abelian (not projective). The vanishing result also holds for  $H^0(M, \Omega^{\otimes p})$ . The vanishing theorem for  $h^{p,0}$  with  $p \geq k$  holds under  $S_k > 0$  for  $k \geq 3$ . But no embedding theorem could be possible.

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- ▶ By Kodaira-Spencer, there exists smooth deformations of Kähler metrics among a family of holomorphic deformations. Hence the result also implies the stability of the projectivity for such manifolds.

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- ▶ First ingredient: a  $\partial\bar{\partial}$ -lemma.

$$\partial\bar{\partial}|s|^2 = \langle \nabla s, \bar{\nabla} s \rangle - \tilde{R}(s, \bar{s}, \cdot, \cdot)$$

where  $\tilde{R}$  stands for the curvature of the Hermitian bundle  $\bigwedge^p \Omega$ , and  $\Omega = (T^*M)$  is the holomorphic cotangent bundle of  $M$ . The metric on  $\bigwedge^p \Omega$  is derived from the metric of  $M^m$ .



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- ▶ Second ingredient: 2nd variation consideration (on the minimal 2-subspaces, or  $k$ -spaces) is the key (this is motivated by Wilking's proof of invariant conditions for Ricci flow). To prove the theorem we apply the maximum principle at  $x_0$ , where  $|s|^2$  attains its maximum ( $s$  being a holomorphic  $(2, 0)$ -form).

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where  $\tilde{R}$  stands for the curvature of the Hermitian bundle  $\bigwedge^p \Omega$ , and  $\Omega = (T'M)^*$  is the holomorphic cotangent bundle of  $M$ . The metric on  $\bigwedge^p \Omega$  is derived from the metric of  $M^m$ .

- ▶ Second ingredient: 2nd variation consideration (on the minimal 2-subspaces, or  $k$ -spaces) is the key (this is motivated by Wilking's proof of invariant conditions for Ricci flow). To prove the theorem we apply the maximum principle at  $x_0$ , where  $|s|^2$  attains its maximum ( $s$  being a holomorphic  $(2, 0)$ -form).
- ▶ In view of the compactness of the Grassmannians we find a complex two plane  $\Sigma$  in  $T'_{x_0}M$  such that  $S_2(x_0, \Sigma) = \inf_{\Sigma'} S_2(x_0, \Sigma') > 0$ .

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- ▶ The novelty of our proof is to use the minimality of  $\Sigma$  to get useful estimates first, and then apply them to tracing  $\partial\bar{\partial}$ -Lemma over  $\Sigma$  (only). B. Andrews (then adapted by Brendle and others) applied a similar trick to the diagonal manifolds (e.g. half of the dimension of the product manifold).

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  - ▶ The proof uses 1) the above estimates and considerations, 2) Applying maximum principle to the co-mass of the forms.

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- ▶ It generalizes the Ahlfors's result and implies the 1-hyperbolicity of  $N$ , if  $N$  is compact and  $H^N(Y) < 0$ . Hence  $H < 0$  is a very restrictive condition. Conditions  $S_k < 0$ , and  $Ric_k < 0$  for  $k \geq 2$  are more flexible.



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### ▶ Theorem (N-2018)

Let  $(M, g)$  be a complete Kähler manifold such that the holomorphic sectional curvature  $H^M(X)/|X|^4 \geq -K$ , and  $(N^n, h)$  be a Kähler manifold with  $H^N(Y) < -\kappa|Y|^4$  for some  $\kappa > 0$ . Let  $f : M \rightarrow N$  be a holomorphic map. Then

$$\|\partial f\|_m^2 \leq \frac{K}{\kappa}, \tag{0.1}$$

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- ▶ It is perhaps the most natural high dimensional generalization of Ahlfors' (and Yau-Royden) Schwarz lemma for mapping between Riemann surfaces.
- ▶ The proof uses a viscosity consideration from PDE theory. The key is to construct a smooth barrier.

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- ▶ Corollary: *the equivalence of the negativities of the holomorphic sectional curvature implies the equivalence of the metrics.*
- ▶ If two Kähler metrics  $g_1$  and  $g_2$  satisfy that

$$-L_1|X|_{g_1}^4 \leq H_{g_1}(X) \leq -U_1|X|_{g_1}^4, \quad -L_2|X|_{g_2}^4 \leq H_{g_2}(X) \leq -U_2|X|_{g_2}^4$$

then for any  $v \in T'_x M$  we have the estimates:

$$|v|_{g_2}^2 \leq \frac{L_1}{U_2}|v|_{g_1}^2; \quad |v|_{g_1}^2 \leq \frac{L_2}{U_1}|v|_{g_2}^2.$$



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- ▶ Theorem (N-2018)
  - (i) Assume that  $\dim_{\mathbb{C}} M = m \leq n = \dim_{\mathbb{C}} N$ . Let  $(M, g)$  be a compact Kähler manifold such that  $\text{Ric}^M \geq 0$ . Let  $(N^n, h)$  be a complete Kähler manifold such that  $S_m^N(y) < 0$ . Then any holomorphic map  $f : M \rightarrow N$  must be degenerate. If the condition is relaxed to allow  $S_m^N \leq 0$  then either the map is degenerate or it must be totally geodesic.
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    - ▶ For (ii) the equal dimensional case was known (Mok-Yau). Here  $\text{Ric}_k^N < 0$  is a stronger assumption than  $S_k < 0$ .

F2. Related questions for Kähler manifolds with  $S_k < 0$   
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- ▶ (Motivated by my metric stability result) Is a compact Kähler manifold with  $H$  close to  $-1$  biholomorphic to a quotient of complex hyperbolic space? (Negative for Riemannian case by Gromov-Thurston. But true for the positive case due to Mori, Siu-Yau's result and the curvature pinching).

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- ▶ Conjecture (Campana-Peternell, Zheng, 1993) For  $M$  Fano, nef of  $T'M \rightarrow M$  is rational homogenous Kähler; Wu-Yau-Zheng (2009) attempted with a curvature notion  $QB$  (not working due to Chau-Tam, 2012).
- ▶ Quadratic orthogonal bisectonal curvature (QB) is defined as  $\langle R, A^2 \bar{\wedge} id - A \bar{\wedge} A \rangle$  for any Hermitian symmetric tensor  $A$ . Locally  $QB > 0 = \sum_{i,j} R_{i\bar{i}j\bar{j}} (a_i - a_j)^2 > 0$ , for any unitary frame  $\{e_i\}$ ,  $\vec{a} \neq c\vec{1}$ .
- ▶ A step back:  $Ric^\perp(X, \bar{X}) \doteq Ric(X, \bar{X}) - H(X)/|X|^2$ .  $(QB) > 0$  implies  $Ric^\perp > 0$ . Wang-Zheng-N: Classical C-spaces with  $b_2 = 1$  satisfy  $Ric^\perp > 0$  (unlike  $QB > 0$ ); A Frankel type result holds; A complete classification for  $\dim_{\mathbb{C}}(M) = 3$ .



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- ▶  $\text{CQB}_1 > 0$  implies  $Ric > 0$  and  $Ric^\perp > 0$ . The local rigidity of manifolds with  ${}^d\text{CQB} > 0$ , and that all classical  $C$ -spaces with  $b_2 = 1$  satisfies  $\text{CQB} > 0$ ,  ${}^d\text{CQB} > 0$  (Ni-2019).

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There are Riemannian analogues of CQB and  ${}^dCQB$ . The result for real cases generalizes an earlier result of Böhm-Wilking on manifolds with  $K \geq 0$ .